PTO/SB/05e (12-08)
Approved for use through 01/31/2009 OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number		10568217		
First Named Inventor Klaus- Art Unit Examiner Name David		2007-11-02		
		Robert Muller		
		2624		
		Robert Vincent		
		4385,060249		

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue E	Date	Name of Patentee or Applicant		Releva	,Columns,L ant Passage s Appear		
	1	5640492		1997-06	5-17	Cortes et al.					
	2	5649492		1997-07	1-22	Chin-Shu					
	3	6327581	B1	2001-12	2-04	Platt					
If you wisi	h to a	d additional U.S. Pater	t citatio	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	Name of Pate of cited Docu	entee or Applicant iment	Releva	,Columns,L ant Passage s Appear		
	1	20030078683	A1	2003-04	1-24	Hartman et al.					
If you wis	h to a	dd additional U.S. Publi	shed Ap	plication	n citatio	n information p	olease click the Ad	d button	Add		
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patente Applicant of cited Document	e or	Pages,Colu where Rele Passages of Figures App	vant v Relevant	Τs
	1								English-lang Abstract Atta		

U.S. PATENTS

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

	Application Number		10568217		
Filing Date			2007-11-02		
First Named Inventor Klaus		Klaus	-Robert Muller		
	Art Unit		2624		
Examiner Name David		David	Robert Vincent		
	Attorney Docket Number		4385-060219		

		NON-PATENT LITERATURE DOCUMENTS Remove	
Examiner Initials*	Cite No	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	Тs
	1	G. CAUWENBERGS et al., "Incremental and Decremental Support Vector Machine Learning", 2001; pages 409-415; Advances in Neural Information Processing Systems 13, XP-402316050.	
	2	C. CORTES et al.; 'Support Vector Networks; 1895; pages 273-297; Machine Learning.	
	3	K.R. MULER et al.; 'An infroduction to Kernel-Based Learning Algorithms'; 2001; pages: 181-201; IEEE Transactions on Neural Networks' 12.	
	4	P.A. PORRAS et al., "Emeralici event monitoring enabling responses to anomalous live disturbances", 1997; pages: 353-365; Proc. National Information Systems Security Conference.	
	5	C. WARRENDER et al., 'Detecting intrusions using system calls: atternative date methods models', 1999; pages: 133-145; Proc. IEEE Symposium on Security and Privacy.	
	6	David M. J. TAX et al.; "Support Vector Domain Description", 1999; pages 1191-1199; Pattern Recognition Letters; Vol. 20.	
	7	B. SCHÖLKOPH et al.; "Learning with Kernels, Support Vector Machines, Regularization, Optimization, and Beyond"; 2002, pages 227-250 and 312-329, The MIT press Cambridge, MA; XP-002316053.	
	8	S. MUKKAMALA et al.; "Intrusion Detection Using Neural Networks and Support Vector Machines", 2002, pages 1702-1707, Proceedings of the 2002 International Joint Conference on Neural Networks, sections III and IV, XP-022316051.	
	9	B. V. NGUYEN, "An Application of Support Vector Machines to Anomaly Detection", September, 2002, Final Project for CS851 Research in Computer Science — Support Vector Machines — Fail 2002, XP-902316052.	

If you wish to add additional Foreign Patent Document citation information please click the Add button Add

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10568217
Filing Date		2007-11-02
First Named Inventor Klaus		-Robert Muller
Art Unit		2624
Examiner Name David		Robert Vincent
Attorney Docket Number		4385-060219

10	F. DES-DERY et al.; Support vector-t-sead unline beteration of Adrupt Changes; April 6, 2003, April 10, 2003, pages 19972-19975, 2003 IEEE International Conference on Acoustics, Speech, and signal Processing ICASSP 2003, sections 103; XP-010641299	
11	D. ACHLIOPTAS et al.; "Sampling Techniques for Kernel Methods"; 2002; pages: 335-341; Advances in Neural Information Processing Systems; vol. 14.	
12	B. BLANKERTZ et at.; "Classifying Single Trial EEG: Towards Brain Computer Interfacing", 2002; pages: 157-164; Advances in Neural Information Processing Systems (NIPS 01); vol. 14.	
13	B. BLANKERTZ et al.; "Boosting bit rates and error detection for the classification of fast-paced motor commands based on single-trial EEG analysis", 2003; IEEE Transactions on Rehabilitation Engineering.	
14	G. CAUVENBERGHS et al.; "incremental and decremental support vector machine learning"; 2001; page 3; Neural information Processing Systems.	
15	R. COLLOBERT et al., "SVMTorch: Support vector machines for large-scale regression problems", 2001; pages: 143-160, Journal of Machine Learning Research; vol. 1.	
16	T. JOACHIMS; "Making Large-Scale SVM Learning Practical", 1999; pages: 169-184; Advances in Kernel Methods- Support Vector Learning, Cambridge, MA: MIT Press.	
17	J. KIVINEN et al.; "Online learning with kemels"; 2001; pages: 785-792; Advances in Neural Inf. Proc. Systems (NIPS 01).	
18	P. LASKOV, "Feasible direction decomposition algorithms for training support vector machines", 2002, pages: 315-349, Machine Learning, vol. 46, 2002.	
19	J MA et al.; "Accurate online support vector regression", 2003; pages 2683-2703; Neural Computation, Mass. Institute of Technology, vol. 15.	
20	M. MARTIN, "On-line Support Vector Machines for function approximation", 2002, Techn. Report, Universität Politécnica de Catalunay, Departament de Llengalges i Sistemes Informátics.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

	Application Number		10568217
	Filing Date		2007-11-02
	First Named Inventor	Klaus	-Robert Muller
	Examiner Name David		2624
			Robert Vincent
			4385-060219

21	M MOYA et al., "Network contraints and multi-objective optimization for one-class Classification"; 1996, pages: 463-474; Neural Networks, vol. 9, no. 3.	
22	L. RALAIVOLA et al.; "incremental Support Vector Machine Learning: A Local Approach", 2001; pages: 322-329, Lecture Notes in Computer Science, vol. 2130.	
23	S. RÜPING, "Incremental learning with support vector machines", 2002, Techn. Report TR-18, Universität Dortmund, SFB475.	
24	B. SCHÖLKOPF et al., "Estimating the support of a high-dimensional distribution", 2001; pages: 1443-1471; Neural Computation, vol. 13, no. 7.	
25	B. SCHÖLKOPF et al.; "New Support Vector Algorithms"; 2000; pages: 1207-1245; Neural Computation; vol. 12 also NeuroCOLT Technical Report NC-TR-1998-031.	
26	A. SMCLA et al.; "Sparse greedy matrix approximation for machine learning"; 2000; pages: 911-918; Proc. ICML'00, San Francisco: Morgann Kaufmann.	
27	N.A. SYED et al.; "Incremental learning with support vector machines"; 1999; SVM workshop, IJCAI.	
28	D. TAX et al.; "Uniform object generation for optimizing one-class classifiers"; 2001; pages: 155-173; Journal for Machine Learning Research.	
29	D. BARBARÁ et at; "ADAM. Detecting influsions by data mining", 2001; pages: 11-16, Proc. IEEE Workshop on Information Assurance and Security.	
30	D DENNING; 'An intrusion-detection model', 1987; pages: 222-232, IEEE Transactions on Software Engineering.	
31	C. DOWELL et al., "The Computer Watch data reduction tool", 1990; pages: 99-109; Proc. 13th National Computer Security Conference.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

	Application Number Filing Date		10568217
			2007-11-02
	First Named Inventor	Klaus	-Robert Muller
	Art Unit		2624
Examiner Name David		David	Robert Vincent
	Attorney Docket Number		4385-060219

32	E. ESKIN et al.; "A geometric framework for unsupervised anomaly detection; detecting intrusions in unlabeled data"; a Chapter of Applications of Data Mining in Computer Security; 2002; Kluwer.	
33	R. JAGANNATHAN et al., "Next-generation intrusion detection expert system (NIDES)", 1993; Computer Science Laboratory, SRI International.	
34	A. LAZAREVIC et al.; "A comparative study of anomaly detection schemes in network ritrusion detection", 2003; Proc. SIAM Conf. Data Minng.	
35	G. LIEPINS et al., "Intrusion detection: its role and validation", 1992; pages: 347-355; Computers and Security, 11(4).	
36	S. NOEL et al.; "Modern intrusion detection, data mining, and degrees of attack guilt"; a Chapter of Applications of Data Mining in Computer Security; 2002; Kluwer.	
37	L. PORTNOY et al.; "Intrusion detection with unlabeled data using clustering"; 2001; Proc. ACM CSS Workshop on Data Mining Applied to Security.	
38	B. SCHÖLKOPF et al., "Input space vs. feature space in kernel-based methods", September, 1999; pages: 1000-1017, IEEE Transactions on Neural Networks 10(5).	
39	B. SCHÖLKOPF et al.; "Estimating the support of a high-dimensional distribution", 2001; pages: 1443-1471; Neural Computation 13(7).	
40	B. SCHOLKOPF et al.; "Nonlinear component analysis as a kernet eigenvalue problem", 1998, pages. 1299-1319, Neural Computation 10.	
41	D. TAX et al., "Data domain description by using support vectors", 1999, pages. 251-256, Verleysen, M. (Hisg.), Proc. ESANN, Brussels, D. Facto Press.	
42	V. VAPNIK, "The nature of statistical learning theory"; 1995, pages: 138-146; Springer Verlag, New York.	

Application Number 10568217		
Filing Date 2007-11-0	12	
INFORMATION DISCLOSURE First Named Inventor Klaus-Robert Mu	-Robert Muller	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Art Unit 2624		
Examiner Name David Robert Vir	d Robert Vincent	
Attorney Docket Number 4385-060	219	

43	V. VAPNIK, 'Statistical Learning Theory', 1998; pages: 401-412; Wiley, New York.	
44	A. VALDES et al.; "Adaptive, model-based monitoring for cyber attack detection"; 2000; pages, 80-92; Proc. RAID 2000.	

If you wish to add additional non-patent literature document citation information please click the Add button Add

EXAMINER SIGNATURE

Examiner Signature | Date Considered | TexAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 See Kind Oode of USPTO Patent Documents at https://www.USPTO.600/ or MPEP 801 B.4. ** Enter office that issued the document, by the two-letter code (WIPO Standard ST 3), ** "For Japanese patent documents, the advantage of the patent ocument. The patent ocument is the standard st